

Privacy Policy for You Tree, LLC As of January 1, 2024

You Tree, LLC recognizes that our relationships with current and prospective clients are based on integrity and trust. We work hard to maintain your privacy and to preserve the private nature of our relationship with you. We place the highest value on the information you share with us. You Tree, LLC will not disclose your personal information to anyone unless it is required by law or at your direction. We will not sell your personal information. You Tree, LLC will provide this privacy statement to all clients annually.

We want our clients to understand what information we collect, how we use it, and how we protect your personal information.

Why We Collect Your Information

We gather information about you so that we can:

- Help design and implement the investment and planning-related services we provide you; and
- Comply with the Federal and State laws and regulations that govern us.

What Information We Collect and Maintain

We may collect the following types of “nonpublic personal information” about you:

- Information from our initial meeting or subsequent consultations about your identity, such as your name, address, ~~social security number~~, date of birth, and financial information.
- Information that we generate to service your financial needs.
- Information that we may receive from third parties concerning your financial profile.

What Information We Disclose

We are permitted by law to disclose nonpublic information about you to unaffiliated third parties in certain circumstances. For example, in order for us to provide planning or investment management services to you, we may disclose your personal information in limited circumstances to various service providers, such as our clearing firm or independent contractors hired by You Tree, LLC.

You Tree, LLC will not disclose any personal information about you or your account(s) unless one of the following conditions is met:

- We receive your prior written consent; or
- We have documentation that the recipient is your authorized representative; or
- We are required by law to disclose information to the recipient

Arrangements with companies or independent contractors not affiliated with You Tree, LLC will be subject to confidentiality agreements.

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How We Protect Your Personal Information

You Tree, LLC collects only information relevant to us performing our services for you. We restrict and limit access to client information only to those who need to carry out their business functions. We maintain physical, electronic, and procedural safeguards to protect your confidential personal information.

Your Responsibility When Sharing Personal Information with You Tree, LLC

You play an important role in safeguarding your personal information. It is your responsibility to:

- 1.) Refrain from sharing over email private information, such as financial statements, account numbers, and personal information. If you do not have a safe way to transmit this information to You Tree, LLC, please contact us at (301) 514-4499 to discuss arrangements.
- 2.) Communicate with us in a way that you feel most comfortable. For example, use a secure postal method, if you are uncomfortable sharing information through online and encrypted document transfer applications.
- 3.) Blacken out all account numbers listed on your financial statements before sharing them with You Tree, LLC. We do not need this information to conduct our normal course of business.
- 4.) Refrain from sharing your Social Security number. We do not need this information to conduct our normal course of business.